Food and Agriculture Organization (FAO) And

United Nations Development Programme (UNDP)

And

World Food Programme (WFP)

Yemen Food Security Response and Resilience Project (P176129)

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

8 April 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- 1. The Food and Agriculture Organization (FAO) and the United Nations Development Programme (UNDP) and the World Food Programme (WFP) (the Recipients) shall jointly implement the Yemen Food Security Response and Resilience Project (the Project) with the involvement of implementing partners (IPs) which include, but are not limited to: the Yemen Social Fund for Development (SFD), the Public Works Project (PWP) and Small Micro Enterprise Promotion Service (SMEPS). The International Development Association (IDA) and the Global Agriculture and Food Security Program (GAFSP) have agreed to provide financing for the Project.
- 2. The Recipients shall implement material measures and actions so that the Project is implemented in accordance with the World Bank's Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, specific documents and plans for preparation and implementation, as well as the timing for each of these.
- 3. The Recipients shall also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), Environmental and Social Management Plans (ESMPs), Simplified Pest Management Plan (PMP), Stakeholder Engagement Plan (SEP), Labor Management Procedures (LMP) and the timelines specified in those E&S documents as relevant to each project component, all in a manner acceptable to the IDA.
- 4. The Recipients are responsible for compliance with all ESCP requirements even where implementation of specific measures and actions is conducted by Implementing Partners (IPs).
- 5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the IDA by the Recipients as required by the ESCP and the conditions of the legal agreements, and the IDA will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
- 6. As agreed by the IDA and the Recipients, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipients shall agree to the changes with the IDA and update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the IDA and the Recipients. The Recipients shall promptly disclose the updated ESCP.
- 7. Where Project changes, unforeseen circumstances, or project performance result in changes to the risks and impacts during project implementation, the Recipients may re-allocate Project funds, as agreed with IDA, and/or undertake additional funds mobilization efforts, if needed, to be able to implement actions

and measures to address such risks and impacts including decisions on funding for any extra cost originated by unforeseen circumstances.



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONIT	ORING AND REPORTING		
A	REGULAR REPORTING: Prepare and submit to the IDA regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including, but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	First report after six months of Project's Effective Date and to be maintained throughout Project implementation on a biannual basis.	FAO, UNDP and WFP, in collaboration with the Social Fund for Development (SFD), the Small Micro Enterprise Promotion Service (SMEPS), and the Public Works Project (PWP).
	Hire and/or maintain a Third Party Monitoring Agent for E&S supervision and reporting.	Prior to the start of sub-project activities. The TPMA will follow the reporting requirements listed for the instruments below.	FAO, UNDP, and WFP.
В	INCIDENTS AND ACCIDENTS: Promptly notify the IDA of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, communities, or workers including exploitation or abuse of vulnerable groups, sexual exploitation and abuse and sexual harassment (SEA/SH), child labor, forced labor, injuries to workers that require off-site medical attention, misuse or spills of pesticides, fatality, among others. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it. Subsequently, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Throughout Project Implementation. Initial Investigation Report within 48 hours, once confirmed, and Detailed Report within ten days of the initial report indicating possible causes and proposing corrective actions.	FAO, UNDP, WFP, SFD, PWP, and SMEPS.

C CONTRACTORS/ SUPERVISOR MONTHLY SURVEYS

The Cash-for-Work (CFW) implementation documents and arrangements shall include the requirements to manage ESHS risks, SEA/SH risks and security issues during the construction activities. The supervision / contractor shall regularly submit implementation monitoring surveys during operations.

Monthly (throughout Project Implementation), with the surveys beginning after the first 30 days of implementing the contracted activities.

UNDP, FAO, SFD, PWP and SMEPS.

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS

1.1 ORGANIZATIONAL STRUCTURE:

FAO shall establish and maintain a Project Coordination Unit (PCU) with qualified staff and resources to support the management of environmental and social risks and impacts of the Project, with composition, mandate and resources satisfactory to the IDA, including one Environmental and one Social Safeguard Specialists. The safeguard specialists will coordinate closely with the Regional Project Coordination Units (RPCU's) to provide support throughout project implementation, particularly for reporting requirements. FAO shall also, in coordination with UNDP and WFP, establish and thereafter maintain, throughout Program implementation, a Coordination Committee, composed of representatives of the implementing institutions participating in the implementation, monitoring and evaluation of the Program"

UNDP shall establish and maintain a team with qualified staff and resources, with composition, mandate and resources satisfactory to the IDA, to support management of E&S risks, including International Environmental and Social Specialist (IESS), Environmental Safeguards Specialist (ESS), Occupational Health and Safety Specialist (OHS), hiring Social Safeguard Specialist (SSA) or any relevant position for ESHS.

WFP shall establish and maintain a team of qualified staff, with composition, mandate and resources satisfactory to the IDA,

One coordination committee with representatives from the implementing institutions established within 30 days of the Effective Date.

One Environmental and one Social Safeguard Specialists hired or appointed within 30 days of the Effective Date. Additional expertise may be outsourced as needed. The PCU shall be maintained throughout Project implementation.) No sub-project activities may begin until the staff are hired and documents are prepared.

International Environmental and Social Specialist (IESS), Environmental Safeguards Specialist (ESS), Occupational Health and Safety Specialist (OHS), hiring Social Safeguard Specialist (SSA) within 30 days of the Effective Date. Staff shall be maintained throughout project implementation. No sub-project activities may begin until the staff are hired and documents are prepared.

A team of qualified staff including expertise in nutrition, gender & GBV, resilience and

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	including staff with expertise in nutrition, gender & GBV, resilience	livelihoods interventions will be hired or	
	and livelihoods interventions, to implement the Project and to	appointed within 30 days of the Effective	
	support the management of ESHS risks and impacts. Furthermore,	Date and shall be maintained throughout	
	WFP will define their respective management structures to	Project implementation. No sub-project	
	implement the Project and reflect those structures in the final SEP	activities may begin until the staff are hired	
	(due two months after the Project Effective Date). WFP will identify	and documents are prepared.	
	qualified staff and resources to be funded by the Project, including		
	staff with environmental and social expertise, depending on the	/	
	nature of the project components implemented by each agency,		
	to be assigned to the Project and to support the management of	rrocess	
	ESHS risks and impacts.		
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT		
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT		
	In accordance with ESS1, assess the environmental and social risks	The final ESMF shall be prepared, disclosed,	FAO, UNDP, WFP (in close
	and impacts of proposed Project activities and prepare, disclose,	consulted upon, and adopted within 30 days	collaboration with SFD, SMEPS,
	consult upon, adopt, and implement thereafter an Environmental and Social Management Framework (ESMF) which shall include	of the Effective Date, and prior to the	and PWP).
	measures to address risks relating to Occupational Health and	carrying out of any project activities that	
	Safety (OHS) and SEA/SH.	have any E&S risks. The ESMF will be	
	(2.13)	implemented throughout the project.	
1.3	ENVIRONMENTAL & SOCIAL MANAGEMENT TOOLS AND INSTRUMENTS		
	Prepare, disclose, consult upon, adopt, and implement subsequent	Plans or instruments to be prepared,	FAO, UNDP, WFP (in close
	Environmental and Social Management Plans (ESMPs) and/or	disclosed, consulted upon, and adopted at	collaboration with SFD, SMEPS,
	other instruments (e.g. LMP, Simplified PMP, etc.) as required for	least three weeks prior to the	and PWP).
	the respective Project activities based on the safeguards screening	implementation of the relevant Project	
	for that subproject. All documents must abide by the ESSs, recognized Environmental, Health, and Safety Guidelines (EHSGs),	activities and implemented throughout the	
	and other relevant Good International Industry Practice (GIIP).	project.	
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1.4 MANAGEMENT OF CONTRACTORS & SUB-PROJECT IMPLEMENTATION

Ensure that the relevant environmental and social requirements (e.g. ESCP, including, inter-alia, ESMPs, Labor Management Procedures, and any other ESHS measures) are incorporated into the project tender/procurement and contractual documents and reflected in the implementation partners'/contractor's ESMP (C-ESMP). Contractors are thereafter obliged by law to comply with the ESHS specifications of their respective contracts.

These requirements include obligations:

- To develop a site-specific GBV/SEA/SH action plan, Labor Management Procedures, ESHS specifications and waste management, the EHSGs and other relevant GIIP; a security plan.
- An Environmental, Social, Health and Safety (ESHS) Specialist as the Contractor's Key Personnel at the Site.
- The obligation to sign **Code of Conduct (CoC)** for all cash-forwork laborers / Contractors' employees and subcontractors.

Ensure thereafter that the supervision team /contractors comply with the above during their contract execution.

Update procurement documents and contracts by incorporating relevant E&S provisions: (i) prior to launching the procurement process for the relevant Cashfor-Work and other Project activities (e.g. Community Assets activities); and (ii) as needed throughout project implementation, in instances where project activities have changed.

Collect the monthly monitoring surveys from the contractors throughout Project Implementation, with the surveys beginning after the first 30 days of implementing the contracted activities.

FAO, UNDP, WFP, SFD, SMEPS, and PWP.

FAO, UNDP, WFP, SFD, SMEPS, and PWP.

1.5 **EXCLUSIONS**:

The following types of activities will be excluded/ineligible for financing under the Project, and will be reflected in the updated ESMF:

- Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g. loss of major natural habitat).
- Activities that have high probability of causing serious adverse effects to human health and/or the environment (not related to COVID-19 cases);
- Activities that may have significant adverse social impacts and may give rise to significant social conflict.
- Activities that may affect lands or vulnerable minorities.
- Activities, including new construction or expansions, that involve larger-scale/longer-term land acquisition/physical relocation and/or adverse impacts on cultural heritage.

An exclusion list will be created during the assessment process described under action 1.2 of this ESCP and included in the ESMF. Exclusion of activities will be ongoing throughout implementation.

FAO, UNDP, WFP (with implementation monitoring supported by local partners – SFD, SMEPS, and PWP).

ESS 2: LABOR AND WORKING CONDITIONS

2.1 LABOR MANAGEMENT PROCEDURE: The Project shall be carried out in accordance with the applicable requirements of ESS2 and in a manner acceptable to the IDA, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out a Grievance Mechanism (GM) for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors.

Prepare, adopt and implement the Labor Management Procedures (LMP) in a manner acceptable to the IDA and consistent with ESS2.

Ensure that contracts are signed with Project Workers in line with the applicable national framework and ESS 2 as set out in the LMP. Ensure all workers sign a Code of Conduct. LMP to be prepared, disclosed and adopted within 30 days of the Effective Date and before hiring project workers implemented throughout the project implementation.

The inclusion of the LMP requirements, ESHS specifications, and labor specific GM into procurement documents and contracts shall occur prior to launching the procurement process for the relevant Project activities.

All other actions under ESS2 (including monitoring of contractual compliance) shall be ongoing throughout Project implementation.

FAO, UNDP and WFP.

2.2 GRIEVANCE MECHANISM FOR PROJECT WORKERS

The grievance mechanism required under ESS2 shall be described in the LMP. A reporting system for GRM issues, maintained by each implementing partner and based on the common UN approach and principles, shall be easily accessible to the Project Workers as it is described in the LMP in accordance with ESS2. The GRM shall be operational within 30 days after the Effective Date and shall be maintained throughout Project implementation.

While greivances will be received within the main GRM reporting systems of the implementing agencies (FAO, WFP, UNDP), grievances specifically concerning GBV/SEA/SH will be triaged and referred to GBV/SEA/SH specialists for adequate support and redress.

The grievance mechanism system to be operational within 30 days after the Effective Date, prior to engaging Project workers, and maintained throughout project implementation.

FAO, UNDP, and WFP, with implementing partners (SFD, PWP and SMEPS) under their supervision and management.

2.3 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES

Prepare, adopt and implement (or monitor implementation of) occupational, health and safety (OHS) measures as described in the ESMF and ESMPs. If a site specific OHS management instrument is required based on the ES screening, implementing partners shall develop and implement, either as sub-section of the LMP or a standalone document (and prior to the commencements of any construction work), a site-specific OHS management plan, and shall perform safety audits and site visits based on that plan. OHS requirements shall be incorporated in all contracts as part of the bidding/contractual agreements.

The Recipients shall ensure that Implementing partners will also:

- Ensure that an appropriate level of management and resources are in place to comply with the OHS requirements, including fire management, the free distribution of personal protective equipment (PPE) and first aid kits;
- Provide visible commitment and leadership to occupational health and safety;
- Identify and evaluate risks and normalize the activities (rules, instructions, and procedures) to the best extent possible;
- Prepare emergency plans;
- Analyze all incidents and accidents;
- Evaluate the indicators of OHS performance;
- Carry out the internal audits of OHS MS;
- Evaluate the OHS training requirements;
- Carry out the medical follow-up of the workers.

Daily implementation of OHS measures throughout the project implementation.

SFD, PWP and SEMPS under the supervision and management of FAO, UNDP and WFP as applicable.

Monitoring and implementation of OHS measures throughout Project implementation and monthly safety inspections.

OHS requirements to be incorporated into all contracts as part of the bidding/contractual documents, prior to the launching of the biding process and throughout Project implementation.

SFD, PWP and SEMPS under the supervision and management of FAO, UNDP and WFP.

FAO, UNDP and WFP.

2.4 **PROJECT WORKERS' TRAINING:**

The Recipients shall ensure that Implementing partners provide training to all their workers, prior to any site work, on basic ESHS risks associated with the proposed construction works and the workers' responsibility.

Site specific ESMPs shall include weekly toolbox meetings at the work sites with a focus on the following themes: child labor; forced labour; gender-based violence, including the mechanism for submitting GBV/SEA complaints; health and safety; compliance with codes of conduct; etc.

Site engineers will provide a weekly or ad-hoc (if and when required) toolbox talks and/or meetings with the construction workers on ESHS risks associated with the construction activities, which have been executed during the past week and for those which are foreseen to be carried out during the next week.

The training program will commence at least one week prior to the start of activities (to ensure sensitization) and shall occur throughout the sub-project's implementation.

SFD, PWP and SMEPS under the supervision and management of FAO, UNDP and WFP.

	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND AGEMENT		
3.1	Prepare, disclose, and implement a simplified Pest Management Plan (PMP) and include measures to mitigate water, air, and soil impacts, in accordance with ESS3 and in a manner acceptable to the IDA. The PMP will serve as a supplement to the ESMF and reflect recommendations of FAO Desert Locust Guidelines, section 6; the Safety and Environmental Precautions issued 2003; the International Code of Conduct on Pesticide Management of the WHO FAO of the United Nations issued 2014; Good Practices for Aerial and Ground Application of Pesticides and it will develop community outreach and communication guidelines as part of the SEP.	The PMP shall be prepared within two months of the Effective Date. Implementation and monitoring for compliance throughout Project implementation.	FAO (in close collaboration with SMEPS and any local implementing partners supporting the agricultural activities envisaged under Component 2)
ESS 4:	COMMUNITY HEALTH AND SAFETY		
4.1	TRAFFIC AND ROAD SAFETY: Recipients shall ensure that Implementing partners develop and implement a site-specific traffic management plan (as part of the ESMP) with details on traffic volume, routes and time of travel. The plan shall ensure placing adequate measures such as: flagmen and signs along the routes, providing alternative routes, trained drivers employed, and vehicle safety is regularly inspected.	Implementation and monitoring for compliance will occur throughout Project implementation and follow the same timeframe for the preparation of the ESMPs.	SFD, PWP and SMEPS under the supervision and management of FAO UNDP and WFP.

4.2 **COMMUNITY HEALTH AND SAFETY:**

Prepare, adopt, and implement measures to assess and manage specific risks and impacts to the community health and safety arising from Project activities, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in line with ESS4 and in a manner acceptable to the IDA.

Recipients shall ensure that Implementing partners adopt and implement measures to minimize and mitigate risks due to communicable diseases as part of Project activities, including the risk of exposure to the Covid-19 virus for Project workers and the community.

Implementation and monitoring for compliance will occur throughout Project implementation and follow the same timeframe for the preparation of the ESMPs.

SFD, PWP and SMEPS under the supervision and management of FAO UNDP and WFP.

4.3	GBV AND SEA/SH RISKS:		
	 A. Prepare, adopt, and implement a Gender-Based Violence Action Plan (GBV Action Plan), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse and sexual harassment (SEA/SH). The action plan will include institutional and services delivery, a Theory of Change, Standard Operating Procedures (SOPs), a referral pathway, and clear mitigation and prevention measures. B. Mapping and situation analysis to identify the gaps and to develop mitigation measures to GBV and SEA safeguards in Yemen. C. Recruit a gender and GBV focal point. D. Provide capacity building on Gender, GBV, and in particular SEA/SH, targeting: A. senior and mid-level staff; 	The GBV action plan as part of the ESMF to be prepared and adopted in accordance with the ESMF timeframe and implemented throughout Project implementation.	FAO, UNDP, WFP, and implementing partners (SFD, PWP, SMEPS).
	B. Technical staff and practitioners;		
	C. Awareness raising to the workers in the field.		
4.5	SECURITY MANAGEMENT:		
	Prepare, adopt, and implement a stand-alone Security Management Plan consistent with the requirements of ESS4, in a manner acceptable to the IDA	Prior to the carrying out of any project activities that have any security risks, and thereafter implemented throughout Project implementation.	FAO, UNDP, WFP in coordination with IPs (SFD, PWP, SMEPS).

	LAND ACQUISITION, RESTRICTIONS ON LAND USE AND UNTARY RESETTLEMENT		
5.1	Prepare, disclose, adopt, and implement a Resettlement Framework (RF) in accordance with ESS5 for potential resettlement issues for activities under component 1 in a manner acceptable to IDA. The grievance redress mechanism (GRM) to address resettlement related complaints shall be described in the RF. Though large scale resettlement is included as part of the negative list, the RPF will cover minor, temporary resettlement which could arise during small works.	Prepare, disclose, and adopt the RF within 30 days of the Effective Date and prior to such specific activities take place and implement the RF throughout the project implementation.	UNDP, FAO, and IP (SFD, PWP, SMEPS).
	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT ING NATURAL RESOURCES		
6.1	Implement relevant screening procedures and key measures from the ESMF.	Relevant measures to be prepared and adopted as part of the ESMF in accordance with the ESMF timeframe, and implemented throughout the life of the project.	FAO (in collaboration with local implementing partners).
	INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY RSERVED TRADITIONAL LOCAL COMMUNITIES		
7.1	Not relevant	N/A	N/A
ESS 8:	CULTURAL HERITAGE		

8.1	CHANCE FINDS: Prepare, adopt and implement the chance find procedure as described in the ESMF developed for the Project and site-specific ESMPs.	Chance finds procedures shall be included in ESMPs in accordance with the ESMP timeframe and any contractual documents shall be adopted prior to the implementation of any relevant subproject activity.	UNDP, FAO, and implementing partners (SFD, PWP, SMEPS).
ESS 9:	FINANCIAL INTERMEDIARIES		
	Not relevant	N/A	N/A
ESS 10	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE	10000	
10.1	STAKEHOLDER ENGAGEMENT PLAN: Update, disclose, adopt and implement the Stakeholder Engagement Plan (SEP), building on the preliminary SEP already disclosed and consistent with ESS10, in a manner acceptable to the IDA.	The preliminary SEP which is prepared and disclosed prior to Board Approval shall be updated, disclosed, and adopted within two months of the Effective Date. The SEP shall be implemented throughout the Project implementation period.	FAO, UNDP and WFP.
10.2	GRIEVANCE MECHANISM: Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, including GBV/SEA/SH allegations (to be triaged/referred to a specialist), consistent with ESS10, in a manner acceptable to the IDA. The implementing partners (FAO, UNDP and WFP) may have a separate reporting systems for GM issues, but overall capture of project grievances (as shared by other implementing partners) must be tracked by FAO, the FAO/UNDP/WFP shall be responsible for coordinate with the local partners for reporting.	The grievance mechanism system shall be operational within 30 days after the Effective Date, prior to implementing project activities that may have any E&S risks, and maintained throughout project implementation. Quarterly capture of project grievances received by fellow implementation partners for unified reporting purposes, throughout project implementation.	FAO, UNDP and WFP. FAO

CAPACITY SUPPORT (TRAINING)		
Training topics for personnel involved in Project implementation will include, but are not limited to: • Training of FAO, UNDP, WFP and implementing partners' staff on ESS procedures, including implementation, management of contractors, and monitoring and evaluation. • Training of Trainers (TOT) training on environmental and social assessment and management (for UNDP, FAO, WFP and implementation partners' professional staff); • Training on the GRMs (project level, ESS2, and handling of GBV/SEA/SH reports when received through those GRMs) • Code of conduct to prevent SEA/SH • TOT on gender, GBV, and community response and protection • Training of contracted parties on labour management and monitoring/reporting requirements • Training of field staff/workers on community management of acute malnutrition, awareness on the prevention of the spread of infectious diseases and health practices. • Training of field staff/workers on OHS measures • Training of technicians on pesticide management, application techniques, and necessary personal protective equipment (PPE).	Process	FAO, UNDP and WFP.